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15750 U.S. PTO

HAYES SOLOWAY PC
130 W. Cushing Street
Tucson, AZ
85701 U.S.A.
TEL 520-882-7623
FAX 520-882-7643

Customer No. 27667

Docket No.: NEC WNZ-2665
Date: April 12, 2004

19270 U.S. PTO
10/822374
041204

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: OHKUBO et al.
For: REPRODUCED SIGNAL EQUALIZING METHOD FOR OPTICAL INFORMATION
MEDIA AND OPTICAL INFORMATION REPRODUCING/RECORDING APPARATUS

Enclosed are the following:

- ☒ Letter: SUBMISSION OF INCOMPLETE APPLICATION
- ☒ Specification 16 pages; Claims 3 pages; Abstract 1 page
- ☐ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 5 pages
- ☐ An assignment of the invention to: _____
- ☐ A certified copy of _____ application No. _____, filed _____
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application
No. 108138/2003 filed April 11, 2003 and Japanese patent application
No. 109006/2004 filed April 1, 2004.

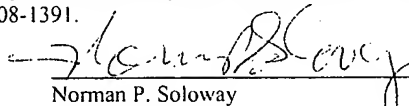
The filing fee has been calculated as shown below:

| | | SMALL ENTITY | LARGE ENTITY |
|-----------------------|-----------|--------------|--------------|
| BASIC FEE: | | \$385.00 | \$ 770.00 |
| TOTAL CLAIMS: | 11 - 20 = | x 9 = | x 18 = |
| INDEPENDENT CLAIMS: | 3 - 3 = | x 42 = | x 86 = |
| MULT. DEPEND. CLAIMS: | | + 140 = | + 280 = |
| TOTAL: | | \$ | \$ 770.00 |

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 770.00 to cover the fees is enclosed.

☐ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391.


Norman P. Soloway
Attorney of Record
Reg. No. 24,315
Customer No. 27667

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. ER772544010US Date of Deposit April 12, 2004

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing: 
Name of person mailing: Sharon McKniff

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF OHKUBO et al.
FILED: April 12, 2004
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 INFORMATION MEDIA AND OPTICAL INFORMATION
 REPRODUCING/RECORDING APPARATUS
DOCKET: NEC WNZ-2665

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF INCOMPLETE APPLICATION

Dear Sir:

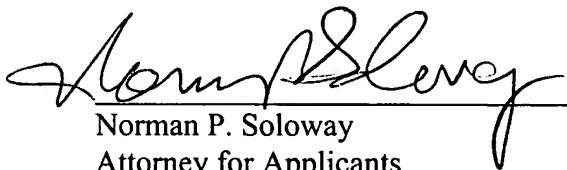
Submitted herewith is a transmittal letter and accompanying patent application documents which are incomplete due to the omission of a Declaration and Power of Attorney. The Applicants are **Shuichi OHKUBO**, c/o NEC Corporation, 7-1 Shiba 5-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; **Hiromi HONMA**, c/o NEC Corporation, 7-1 Shiba 5-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; **Masatsugu OGAWA**, c/o NEC Corporation, 7-1 Shiba 5-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; **Masaki NAKANO**, c/o NEC Corporation, 7-1 Shiba 5-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; **Toshiaki IWANAGA**, c/o NEC Corporation, 7-1 Shiba 5-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; **Yutaka KASHIHARA**, c/o Intellectual Property Division, Toshiba Corporation, 1-1 Shibaura 1-chome, Minato-ku, Tokyo, Japan, who is a

HAYES SOLOWAY P.C.
130 W. CUSHING ST.
TUCSON, AZ 85701
TEL. 520.882.7623
FAX. 520.882.7643

175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668.1400
FAX. 603.668.8567

Japanese citizen; **Yuuji NAGAI**, c/o Intellectual Property Division, Toshiba Corporation, 1-1 Shibaura 1-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen; and **Akihito OGAWA**, c/o Intellectual Property Division, Toshiba Corporation, 1-1 Shibaura 1-chome, Minato-ku, Tokyo, Japan, who is a Japanese citizen. Other details will be found on the transmittal letter.

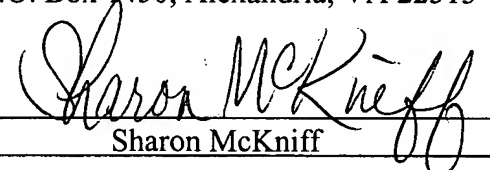
Respectfully submitted,


Norman P. Soloway
Attorney for Applicants
Reg. No. 24,315

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Signature of person mailing 
Name of person mailing Sharon McKniff

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FAX. 520.882.7643

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FAX. 603.668.8567